Abstract Syntax Trees



- Our Exp1bytecode language was so straightforward that the best IR was an abstract representation of the instructions
- In more complex languages, especially higher level languages it usually not possible to design such a simple IR
- Instead we use Abstract Syntax Trees (ASTs)

Abstract Syntax Trees



 One way to think about ASTs is as parse trees with all the derivation information deleted





Abstract Syntax Tree

Abstract Syntax Trees



- Because every valid program has a parse tree, it is always possible to construct an AST for every valid input program.
- In this way ASTs are the IR of choice because it doesn't matter how complex the input language, there will always be an AST representation.
- Besides being derived from the parse tree, AST design typically follows three rules of thumb:
 - *Dense*: no unnecessary nodes
 - *Convenient*: easy to understand, easy to process
 - *Meaningful*: emphasize the operators, operands, and the relationship between them; emphasize the computations

Tuple Representation of ASTs



- A convenient way to represent AST nodes is with the following structure,
 - (TYPE [, child1, child2,...])
- A tree node is a tuple where the first component represents the type or name of the node followed by zero or more components each representing a child of the current node.
- Consider the abstract syntax tree for + x y x,





- Our next language is a simple high-level language that supports structured programming with 'if' and 'while' statements.
- However, it has no scoping and no explicit variable declarations.





cuppa1_gram.py



grammar for Cuppa1

```
from ply import yacc
from cuppa1 lex import tokens, lexer
# set precedence and associativity
# NOTE: all arithmetic operator need to have tokens
#
        so that we can put them into the precedence table
precedence = (
       ('left'. 'EO'. 'LE').
       ('left', 'PLUS', 'MINUS'),
       ('left', 'TIMES', 'DIVIDE'),
       ('right', 'UMINUS', 'NOT')
       )
def p_grammar(_):
    program : stmt list
    stmt_list : stmt stmt_list
       empty
    stmt : ID '=' exp opt semi
           GET ID opt semi
           PUT exp opt semi
           WHILE '(' exp')' stmt
           IF '(' exp')' stmt opt else
          '{ stmt_list '}
    opt else : ELSE stmt
       empty
  opt semi:';'
       empty
```

The Parser Specification

exp : exp PLUS exp exp MINUS exp exp TIMES exp exp DIVIDE exp exp EQ exp exp LE exp INTEGER ID '(' exp ')' MINUS exp %prec UMINUS NOT exp pass def p empty(p): 'empty :' pass def p error(t): print("Syntax error at '%s'" % t.value) *### build the parser* parser = yacc.yacc()

cuppa1_lex.py



```
# Lexer for Cuppal
from ply import lex
reserved = {
  'get' 'GET'.
  'put' 'PUT'
  'if' :'IF',
  'else' 'ELSE',
  'while' : 'WHILE',
  'not' : 'NOT'
}
literals = [':'.'='.'('.')'.'{'.'}']
tokens = [
      'PLUS', 'MINUS', 'TIMES', 'DIVIDE',
      'EQ','LE',
      'INTEGER','ID',
     1+list(reserved.values())
t PLUS = r' + '
t MINUS = r'-'
t TIMES = r' \*'
t DIVIDE = r'/'
t EQ = r'=='
t LE = r'<='
t ignore = '\t'
...
```

```
def t ID(t):
 r'[a-zA-Z ][a-zA-Z 0-9]*'
    t.type = reserved.get(t.value, 'ID') # Check for reserved words
    return t
def t INTEGER(t):
 r'[0-9]+'
    return t
def t COMMENT(t):
  r'//.*'
  pass
def t NEWLINE(t):
 r'\n'
 pass
def t error(t):
    print("Illegal character %s" % t.value[0])
  t.lexer.skip(1)
# build the lexer
lexer = lex.lex(debug=0)
```

The Lexer Specification

Testing our Parser



In [4]:	<pre>from cuppal_gram import parser from cuppal_lex import lexer</pre>
	Generating LALR tables WARNING: 1 shift/reduce conflict
In [6]:	<pre>fact = \ </pre>
	<pre>get x; y = 1; while (1 <= x) { y = y * x; x = x - 1;</pre>
	<pre>} put y;</pre>
	<pre>parser.parse(fact, lexer=lexer)</pre>

Notice the shift/reduce conflict!

The error is due to the if-then-else statement with the optional else.

The default action for shift/reduce conflicts is to always **shift**.

That is exactly right for us!

In [7]: loop = "while (1) {}"

parser.parse(loop, lexer=lexer)

The Cuppa1 Frontend



- A frontend is a parser that
 - 1. Constructs an AST
 - 2. Fills out some rudimentary information in a symbol table

cuppa1_state.y

```
class State:
    def __init__(self):
        self.initialize()
    def initialize(self):
        # symbol table to hold variable-value associations
        self.symbol_table = {}
        # when done parsing this variable will hold our AST
        self.AST = None
    state = State()
```

We use the State to maintain the program AST and a symbol table.

AST: Statements

cuppa1_frontend_gram.py





```
def p_empty(p):
    'empty :'
    p[0] = ('nil',)
```

Consider: stmt : ID '=' exp opt_semi

Gives rise to the following actions: p[0] = ('assign', p[1], p[3]) state.symbol_table[p[1]] = None



AST: Statement Lists & Programs







<pre>def p_stmt_list(p): ""</pre>		
<pre>stmt_list : stmt stmt_list</pre>		
if (len(p) == 3): p[0] = ('seg' p[1] p[2])		
elif (len(p) == 2): p[0] = p[1]		

Statement lists are 'nil' terminated 'seq' terms.



AST: Expressions

cuppa1_frontend_gram.py

This should look familiar, same structure as for the expressions in exp1bytecode language.

```
def p integer exp(p):
 exp : INTEGER
p[0] = ('integer', int(p[1]))
def p id exp(p):
 exp : ID
p[0] = ('id', p[1])
def p paren exp(p):
,,,
exp : '(' exp ')'
p[0] = ('paren', p[2])
def p uminus exp(p):
,,,
 exp : MINUS exp %prec UMINUS
p[0] = ('uminus', p[2])
def p_not_exp(p):
 exp : NOT exp
...
p[0] = ('not', p[2])
```



- In [16]: from cuppal_frontend_gram import parser
 from cuppal_lex import lexer
 from cuppal_state import state
 from grammar_stuff import dump_AST
- In [17]: state.initialize()
 parser.parse("get x; put x", lexer=lexer)

```
In [18]: dump_AST(state.AST)
```

In [19]: state.symbol_table

Out[19]: {'x': None}





In [22]: state.symbol_table

Out[22]: {'x': None}



In [23]: state.initialize()
 parser.parse("while (1) {}", lexer=lexer)

In [24]: dump_AST(state.AST)





In [26]: dump_AST(state.AST)





```
|(if
| |(<=
| | |(integer 0)
| | |(id x))
| |(put
| |(integer 1))
| (put
| |(integer 2)))
|(nil)))
```

Processing ASTs: Tree Walking



- The recursive structure of trees gives rise to an elegant way of processing trees: *tree walking*.
- A tree walker typically starts at the root node and traverses the tree in a depth first manner.

Processing ASTs: Tree Walking



Consider the following:



In [34]: ast = ('+', ('*', ('integer', 3), ('integer', 2)), ('integer', 4))
In [35]: from grammar_stuff import dump_AST
dump_AST(ast)

```
(+
|(*
| |(integer 3)
| |(integer 2))
|(integer 4))
```

Processing ASTs:

def	<pre>const(node): # pattern match the constant node (INTEGER, val) = node</pre>		A simple tree walker for our expression tree	
	<pre># return the value as an integer value return int(val)</pre>			
def	<pre>add(node): # pattern match the tree node (ADD, left, right) = node # recursively call the walker on the children left_val = walk(left) right_val = walk(right) # return the sum of the values of the children return left_val + right_val mult(node): # pattern match the tree node (MULT, left, right) = node # recursively call the walker on the children left_val = walk(left) right_val = walk(right) # return the product of the values of the children return left val * right val</pre>		<pre>dispatch_dict = { '+' : add, '*' : mult, 'integer' : const }</pre>	
		def	<pre>walk(node): # first component of any tree node is its type t = node[0] # lookup the function for this node node_function = dispatch_dict[t] # now call this function on our node and capture r val = node function(node)</pre>	the return value

return val

Processing ASTs: Tree Walking A simple

A simple tree walker for our expression tree



In [34]: ast = ('+', ('*', ('integer', 3), ('integer', 2)), ('integer', 4))

In [35]: from grammar_stuff import dump_AST

dump_AST(ast)

(+ |(* | |(integer 3) | |(integer 2)) |(integer 4))

In [39]: print(walk(ast))
10

We just interpreted the expression tree!!!

Processing ASTs: Tree Walking Asimple

A simple tree walker for our expression tree



def const(node):
 # pattern match the constant node

(INTEGER, val) = node

return the value as an integer value
return int(val)

```
def add(node):
```

pattern match the tree node
(ADD, left, right) = node

recursively call the walker on the children
left_val = walk(left)
right_val = walk(right)

return the sum of the values of the children
return left_val + right_val

```
def mult(node):
```

pattern match the tree node
(MULT, left, right) = node

recursively call the walker on the children
left_val = walk(left)
right_val = walk(right)

return the product of the values of the children
return left_val * right_val

- Notice that this scheme mimics what we did in the syntax directed interpretation schema,
- But now we interpret an expression tree rather than the implicit tree constructed by the parser.

Tree Walkers are Plug'n Play



- Tree walkers exist completely separately from the AST.
- Tree walkers plug into the AST and process it using their node functions.



Tree Walkers are Plug'n Play



• There is nothing to prevent us from plugging in multiple walkers during the processing of an AST, each performing a distinct phase of the processing.





An Interpreter for Cuppa1





An Interpreter for Cuppa1

def	walk(node)):							
	# node for	rmat: (TYPE, [child1[, child2[,]]])							
type = node[0]									
<pre>if type in dispatch_dict:</pre>									
<pre>node_function = dispatch_dict[type] return node_function(node)</pre>									
								else:	
	raise	ValueError("walk: unknown tree node type: " + type							
# 0	dictionom	to provide the noder with node functions							
# d	alctionar	y to associate tree nodes with node functions							
arst									
	'nil'	· sey,							
	'aggign'	· HII,							
	'got'	· assign_schic,							
	'put'	· yet_stmt							
	'while'	• while stmt							
	'if'	· if stmt							
	'block'	block stmt.							
	'integer'	: integer exp.							
	'id'	: id exp.							
	'paren'	: paren exp,							
	'+'	: plus exp,							
	121	: minus exp,							
	'*'	: times exp,							
	171	: divide exp,							
	'=='	: eq exp,							
	'<='	: le exp,							
	'uminus'	: uminus exp,							
	'not'	: not_exp							
}									

cuppa1_interp_walk.py

An Interpreter for Cuppa1 _{cuppa1_interp_walk.py}



```
def assign_stmt(node):
```

```
(ASSIGN, name, exp) = node
assert_match(ASSIGN, 'assign')
```

```
value = walk(exp)
state.symbol_table[name] = value
```

def seq(node):

```
(SEQ, stmt, stmt_list) = node
assert_match(SEQ, 'seq')
```

walk(stmt)
walk(stmt_list)

```
def while_stmt(node):
    (WHILE, cond, body) = node
    assert_match(WHILE, 'while')
    value = walk(cond)
    while value != 0:
        walk(body)
        value = walk(cond)
```

```
def if_stmt(node):
    try: # try the if-then pattern
        (IF, cond, then_stmt, (NIL,)) = node
        assert_match(IF, 'if')
        assert_match(NIL, 'nil')
    except ValueError: # if-then pattern didn't match
        (IF, cond, then_stmt, else_stmt) = node
        assert_match(IF, 'if')
        value = walk(cond)
        if value != 0:
            walk(then_stmt)
        else:
            walk(else_stmt)
        return
```

```
else: # if-then pattern matched
    value = walk(cond)
```

```
if value != 0:
    walk(then stmt)
```

return

def plus exp(node):

```
(PLUS,c1,c2) = node
assert_match(PLUS, '+')
v1 = walk(c1)
v2 = walk(c2)
```

return v1 + v2



An Interpreter for Cuppa1

```
from argparse import ArgumentParser
from cuppal_lex import lexer
from cuppal_frontend_gram import parser
from cuppal_state import state
from cuppal_interp_walk import walk
```

```
def interp(input_stream):
```

```
# initialize the state object
state.initialize()
```

```
# build the AST
parser.parse(input_stream, lexer=lexer)
```

```
# walk the AST
walk(state.AST)
```

```
if __name__ == "__main__":
    # parse command line args
    aparser = ArgumentParser()
    aparser.add_argument('input')
```

```
args = vars(aparser.parse_args())
```

```
f = open(args['input'], 'r')
input_stream = f.read()
f.close()
```

```
# execute interpreter
interp(input stream=input stream)
```

cuppa1_interp.py

```
In [49]: interp("get x; x = x + 1; put x")
```

Value for x? 3 > 4

In [50]: from cuppal_examples import *

```
In [51]: print(list)
```

// list of integers
get x
while (1 <= x)
{
 put x;
 x = x + - 1;
 i = x
}</pre>

In [52]: interp(list)

Value for x? 5 > 5 > 4 > 3 > 2 > 1

A Pretty Printer with a Twist



- Our pretty printer will do the following things:
 - It will read the Cuppa1 programs and construct an AST
 - It will compute whether a particular variable is used in the program
 - It will output a pretty printed version of the input script but <u>will flag assignment/get statements to</u> variables which are not used in the program

This cannot be accomplished in a syntax directed manner – therefore we need the AST



PrettyPrinting the Language

program : stmt_list			
<pre>stmt_list : stmt stmt_list</pre>			
<pre>stmt : ID '=' exp opt_semi GET ID opt_semi PUT exp opt_semi WHILE '(' exp ')' stmt IF '(' exp ')' stmt opt_else '{' stmt_list '}'</pre>			
opt_else : ELSE stmt empty			
opt_semi : ';'			
empty			
exp : exp PLUS exp			
exp MINUS exp			
exp TIMES exp			
exp DIVIDE exp			
exp EQ exp			
exp LE exp			
INTEGER			
ID			
'('exp')'			
MINUS exp %prec UMINUS			
NOT exp			





We need an IR because usage will always occur after definition – cannot be handled by a syntax directed pretty printer.

The Pretty Printer is a Translator!



- The Pretty Printer with a Twist fits neatly into our translator class
 - Read input file and construct AST/Collect info
 - Generate output code, flagging unused assignments





Pretty Printer Architecture



Frontend + 2 Tree Walkers



- The first pass of the pretty printer walks the AST and looks for variables in expressions
 - only those count as usage points.
- A peek at the tree walker for the first pass, cuppa1_pp1_walk.py shows that it literally just walks the tree doing nothing until it finds a variable in an expression.
- If it finds a variable in an expression then the node function for id_exp marks the variable in the symbol table as used,





```
def binop_exp(node):
    (OP, c1, c2) = node
    if OP not in ['+', '-', '*', '/', '==', '<=']:
        raise ValueError("pattern match failed on " + OP)
    walk(c1)
    walk(c2)</pre>
```



But...

```
def id_exp(node):
    (ID, name) = node
    assert_match(ID, 'id')
    # we found a use scenario of a variable, if the variable is defined
    # set it to true
    if name in state.symbol_table:
        state.symbol_table[name] = True
```



- Recall that when the frontend finds a definition of a variable as an
 - assignment statement or a
 - get statement
- it enters the variable into the symbol table and initializes it with None.

```
def p_stmt(p):
    '''
    stmt : ID '=' exp opt_semi
        [GET ID opt_semi
        PUT exp opt_semi
        PUT exp opt_semi
        WHILE '(' exp ')' stmt
        IF '(' exp ')' stmt opt_else
                    '{' stmt_list '}'
    '''
    if p[2] == '=':
        p[0] = ('assign', p[1], p[3])
        state.symbol_table[p[1]] = None
elif p[1] == 'get':
        p[0] = ('get', p[2])
        state.symbol_table[p[2]] = None
...
```



In [86]: from cuppal_frontend_gram import parser
from cuppal_lex import lexer
from cuppal_ppl_walk import walk as ppl_walk
from cuppal_state import state
state.initialize()

Testing the tree walker

- In [87]: parser.parse("get x", lexer=lexer)
- In [88]: pp1_walk(state.AST)
- In [89]: state.symbol_table
- Out[89]: {'x': None}

In [90]:	<pre>state.initialize()</pre>	
In [91]:	<pre>parser.parse("get x; put x", lexer=lexer)</pre>	
In [92]:	pp1_walk(state.AST)	
In [93]:	<pre>state.symbol_table</pre>	
Out[93]:	{'x': True}	

PP2: Pretty Print Tree Walker



• The tree walker for the second pass walks the AST and compiles a formatted string that represents the pretty printed program.

```
def seq(node):
    (SEQ, s1, s2) = node
    assert_match(SEQ, 'seq')
    stmt = walk(s1)
    list = walk(s2)
    return stmt + list
```

Concatenate the string for stmt with the string from the rest of the Seq list. Recall that programs are nil terminated Seq lists of statements:



PP2: Pretty Print Tree Walker

def	<pre>assign_stmt(node):</pre>		l.
	(ASSIGN, name, exp) = node assert_match(ASSIGN, 'assign')		
	<pre>exp_code = walk(exp)</pre>		
	<pre>code = indent() + name + ' = ' + exp_code</pre>		
	<pre>if not state.symbol_table[name]: code += ' // *** '+ name + ' is not used ***'</pre>	def binop_exp(no	de):
	code += '\n' return code	(OP, c1, c2) if OP not in raise Va	<pre>= node ['+', '-', '*', '/', '==', '<=']: lueError("pattern match failed on " + OP)</pre>
		lcode = walk rcode = walk	(c1) (c2)
def	<pre>while_stmt(node): global indent_level</pre>	code = lcode	+ ' ' + OP + ' ' + rcode
	(WHILE, cond, body) = node assert_match(WHILE, 'while')	return code	
	<pre>cond_code = walk(cond)</pre>		
	<pre>indent_level += 1 body_code = walk(body) indent_level -= 1</pre>		
<pre>code = indent() + 'while (' + cond_code + ')\n' + body_code</pre>		code	
	return code		

Indent() and indent_level keep track of the code indentation for formatting purposes.



Top Level Function of PP

#!/usr/bin/env python # Cuppa1 pretty printer

```
from sys import stdin
from cuppa1_frontend_gram import parser
from cuppa1_lex import lexer
from cuppa1_state import state
from cuppa1_pp1_walk import walk as pp1_walk
from cuppa1_pp2_walk import walk as pp2_walk
from cuppa1_pp2_walk import init_indent_level
```

```
def pp(input_stream = None):
```

```
# if no input stream was given read from stdin
if not input_stream:
    input_stream = stdin.read()
```

```
# initialize the state object and indent level
state.initialize()
init_indent_level()
```

```
# build the AST
parser.parse(input_stream, lexer=lexer)
```

```
# walk the AST
pp1_walk(state.AST)
code = pp2_walk(state.AST)
```

```
# output the pretty printed code
print(code)
```

```
if __name__ == "__main__":
    # execute only if run as a script
    pp()
```

Top level function



The Cuppa1 PP

Testing the pretty printer

```
In [79]: from cuppal_pp import pp
In [80]: pp("get x; while (1 <= x) { put x; x = x + - 1; i = x }")
get x
while (1 <= x)
{
    put x
        x = x + -1
        i = x // *** i is not used ***
}</pre>
```

Assignment

• Assignment #5 – see webpage.

